



# SCHEDULE OF FINES & PENALTIES

Effective Jan. 1, 2023

**DAYS:** Days shall mean total days irrespective of weekends and holidays.

**WRITTEN NOTICE:** Written notice is defined to include any representation of words, letters, symbols, numbers or figures, whether printed in or inscribed on a tangible medium or stored in an electronic form or other medium.

## Sport Licence and Horse Recording Fines

| Infraction  | Rule/Policy  | Fine/Penalty                                    |
|---|--|---|
| Horse Recording violation   | Equestrian Canada (EC) Rules, Section A: General Regulations, Article A407 | First offence: \$200<br>Second offence: hearing |
| Athlete competing while medically suspended   | EC Rules, Section A: General Regulations, Article A101                     | \$400 &<br>90 days Not in Good Standing (NSG)   |
| Stewards/Technical Delegates (TDs) failing to submit EC accident injury report form for urgent injuries within 24 hours | EC Rules, Section A: General Regulations, Article A101                     | \$400 &<br>90 days NGS                          |

## Competition Document Penalties

| Infraction  | Rule/Policy  | Penalty  |
|---|--|--|
| Failure to submit competition application form at least 60 days prior to competition start date   | EC Competition Administration Policy (CAP), Section 5.2.2                          | \$100  |
| Failure to submit draft prize list to EC Steward/TD within 60 days prior to closing date for entries  | EC CAP, Section 5.2.2  | \$100  |
| Failure to obtain prize list approval from EC Steward/TD 30 days prior to closing date for entries  | EC Rules, Section A: General Regulations, Article A601.3<br>EC CAP, Section 5.2.3b | \$100 – Bronze<br>\$150 – Silver<br>\$250 – Gold |
| Publishing the prize list prior to obtaining approval from EC Steward/TD  | EC Rules, Section A: General Regulations, Article A601.3                           | \$100 – Bronze<br>\$250 – Silver<br>\$500 – Gold |
| Failure to submit copy of final approved prize list in electronic format 30 days prior to closing date for entries  | EC CAP, Section 5.2.3c   | \$100  |
| Failure to submit modification forms (changes/additional information regarding dates, level of competition or divisions) 30 days prior to competition start date<br>*Does not apply to modifications of officials | EC CAP, Section 5.2.4  | \$100  |



| Infraction  | Rule/Policy  | Penalty   |
|---|--|---|
| Failure to submit EC Certificate of Insurance 30 days prior to competition start date   | EC Rules, Section A: General Regulations, Article A507<br>EC CAP, Section 5.2.3a   | \$100   |
| Failure to submit competition sanctioning fees and guest card fees 30 days prior to competition start date<br>*Exceptions may be granted in extreme circumstances | EC CAP, Section 5.2.3d   | \$100   |
| Failure to submit Competition Master Report within 14 days following competition  | EC CAP, Section 5.4.1  | Fines start at \$100 and follow the competition licensing fee chart in EC Rules, Section A: General Regulations, Article A309   |
| Failure to submit Equine Medication Control Fees/Form within 14 days following competition  | EC Rules, Section A: General Regulations, Article A1008.2<br>EC CAP, Section 5.4.1 | Fines start at \$100 and follow the competition licensing fee chart in EC Rules, Section A: General Regulations, Article A309   |
| Failure to remit documents, payments and late fees within 30 days following competition   | EC CAP, Section 5.4.1  | Outstanding fees in excess of 30 days will result in the Competition Manager or Organizing Committee being listed NGS until fines are paid and all documents and fees are submitted |
| Failure to submit competition results within 7 days following competition   | EC CAP, Section 6.4.4  | \$100   |



### Competition Fines\*

| Infraction   | Rule/Policy   | Penalty  |
|--|---|--|
| Using an official who is qualified but has not been approved   | EC CAP, Section 6.2.2                                     | \$100/official   |
| Using an official who is neither qualified nor approved  | EC CAP, Section 6.2.2                                     | \$500/official   |
| Official's exceeding 16 hours of service at a competition (payable to Equestrian Canada)   | EC Rules, Section A: General Regulations, Article A504.1  | \$250 per hour exceeding 16 hours  |
| Failure to pay out prize money to entries. All prize money must be paid within 30 days of the last day of competition.   | EC Rules, Section A: General Regulations, Article A701    | \$100/entry  |
| Failure to pay competition officials.<br>All officials must be paid within 30 days of the last day of competition. Failure to pay officials can result in the removal of future sanctioning. | EC CAP, Section 5.1.6                                     | \$150/official   |
| Official working at a non-sanctioned competition   | EC Rules, Section A: General Regulations, Article A1301.8 | First offence: \$100<br>Second offence: \$250 & 30 day suspension<br>Third offence: hearing (up to \$1,000 fine & suspension up to 1 year) |

**\*Note: will be handled on a case-by-case basis**



## Equine Medication Control Fines

| Infraction   | Rule/Policy  | Penalty   |
|--|--|---|
| Refusal of a request by an on-duty EC licensed official to provide for independent inspection and testing the equipment and materials used for the injection of a horse at an EC sanctioned competition  | EC Rules, Section A: General Regulations, Article A1010.1i             | Automatic hearing<br>\$2,500 to \$4,000 fine<br>90-day suspension   |
| Found in possession or administering/permitting the administration by any means of any of the following drugs to a horse entered in an EC sanctioned competitions:<br>(i) injectable magnesium, (ii) gamma amino butyric acid (GABA) or (iii) hydroxy-gamma butyric acid (Hydroxy- GABA) | EC Rules, Section A: General Regulations, Articles A1010.1g & A1010.1h | Automatic hearing<br>\$2,500 to \$4,000 fine<br>90-day suspension   |
| Found to have violated the prohibited practice of administering any medication or substances by injection to a horse prior to competition: (i) on the same day as competition for classes commencing before 6pm or (ii) after 10am for classes commencing after 6pm                      | EC Rules, Section A: General Regulations, Article A1003                | First offence:<br>yellow card issued to Person Responsible(s) (PR), horse eliminated from competition<br>Subsequent offences: yellow card issued to PR(s), horse eliminated from competition, automatic hearing |
| Presence of medroxyprogesterone in an official EC Equine Medication Control Sample   | EC Rules, Section A: General Regulations, Article A1003                | First offence: Class 2 Medication Control Violation<br>Note: subsequent offences will be sanctioned as second offenses and require a hearing  |



**Table 1: Equine Medication Control Administrative Fines & Penalties**

| Infraction Medication Class | Administrative Penalty (No hearing settlement; first offence only) | Horse suspension for horse owned by PR | Horse suspension for horse not owned by PR |
|-----------------------------|--|--|--|
| Class 1                     | \$2,500 fine & 90-day suspension                                   | 90 days                                | 60 days                                    |
| Class 2                     | \$2,000 fine & 45-day suspension                                   | 45 days                                | 30 days                                    |
| Class 3                     | \$1,500 fine & 30-day suspension                                   | 30 days                                | 30 days                                    |
| Class 4                     | \$1000 fine & 15-day suspension                                    | 15 days                                | 15 days                                    |
| Class 5                     | \$750 fine & no suspension   | No suspension                          | No suspension                              |

**Note: suspensions will continue until all fines are paid in full**

**Table 2: Equine Medication Control Hearings Fines & Penalties**

| Class of Infraction   | PR Penalties (First offence only)*                        | Horse suspension for horse owned by PR (First offence only)* | Horse suspension for horse not owned by PR (First offence only)* |
|-----------------------|---|--|--|
| Class 1               | \$2,500 to \$5,000 fine<br>90-day to 24-month suspension  | 90 days to 24 months   | 60 days to 24 months   |
| Class 2               | \$2,000 to \$4,000 fine<br>60 to 90-day suspension        | 60 to 90 days  | 60 to 90 days  |
| Class 3               | \$1,500 to \$3,000 fine<br>45 to 90-day suspension        | 45 to 90 days  | 45 to 90 days  |
| Class 4               | \$1,000 to \$2,000 fine<br>30 to 60-day suspension        | 30 to 60 days  | 30 to 60 days  |
| Class 5               | \$750 to \$1,500 fine<br>15 to 30-day suspension          | 15 to 30 days  | 15 to 30 days  |
| <b>Second Offence</b> | \$2,000 to \$7,500 fine<br>30-day to 24-month suspension  | 30 days to 24 months   | 30 days to 24 months   |
| <b>Third Offence</b>  | \$3,000 to \$15,000 fine<br>60-day to lifetime suspension | 60 days to lifetime  | 60 days to lifetime  |

When the PR elects to hold a hearing instead of accepting the administrative penalty (first offence for classes 1-5), the hearing charge is \$750. A deposit of \$250 is due with the written request to hold a hearing. The hearing panel can determine to increase this amount up to \$5,000 on a case-by-case basis.

A second or third offence for the PR is applicable for any class of violation and/or any prohibited substance found for a second or third time in the same horse or different horses.

\*All prizes, ribbons and prize money won by the horse at the competition must be returned to the competition organizing committee where the infraction occurred.

\*The PR and the horse will be placed NGS for the duration of the suspension and until all fines and hearing fees are paid in full.



**Fines Listed in EC Rules, Section A:  
General Regulations & Competition Administration Policy (CAP)**

| <b>Infraction</b>  | <b>Rule/Policy</b>  | <b>Penalty</b>                                   |
|--|---|--|
| Maximum fine (imposed by Competition Organizing Committee) for violation during a particular competition           | EC Rules, Section A: General Regulations, Article A1213.4                       | \$1,000  |
| Maximum fine (imposed by the Participating PTSO) for infraction other than drug violations                         | EC Rules, Section A: General Regulations, Article A1213.5                       | \$5,000  |
| Costs for Provincial or National Hearing Panel   | EC Rules, Section A: General Regulations, Article A1213.5.a                     | \$1,500  |
| Maximum fine (imposed by National Appeal Panel)  | EC Rules, Section A: General Regulations, Article A1213.5                       | \$5,000  |
| Athlete competing without an EC Sport Licence or with an invalid EC Sport Licence and/or Amateur Card              | EC Rules, Section A: General Regulations, Article A203                          | \$60   |
| Athlete/owner competing a horse without an EC Horse Recording/Passport or with an invalid Horse Recording/Passport | EC Rules, Section A: General Regulations, Article A407                          | \$100  |
| Competition knowingly accepting an entry who is NGS  | EC Rules, Section A: General Regulations, Article A801.11                       | \$100 – Bronze<br>\$250 – Silver<br>\$500 – Gold |
| Competition accepting an invalid entry (including sport licences, amateur status or Horse Recordings)              | EC Rules, Section A: General Regulations, Article A801.11<br>CAP, Section 5.3.2 | \$20/invalid entry (max \$600)                   |
| Competition taking place without a licence (first offence)   | CAP, Section 7.2  | First offence: \$500<br>Second offence: \$3,000  |



### Competition Manager fines for not supplying suitable facilities for drug testing

| Class of Infraction | Competition Manager                       |
|---------------------|---|
| First offence       | Warning                                   |
| Second offence      | \$500 fine                                |
| Third offence       | Hearing & competition date may be revoked |