

**Reciprocal Agreement between
United States Equestrian Federation Inc.
and
Equestrian Canada**

This Reciprocal Agreement (“Agreement”) is made by and between the United States Equestrian Federation, Inc. (“USEF”), a New York not-for-profit corporation with 4001 Wing Commander Way Lexington, KY 40511 as its principal place of business and Equine Canada operating as Equestrian Canada/Canada Equestre (“EC”), 11 Hines Suite 201, Ottawa, Ontario, Canada K2K 2X1 as its principal place of business.

- A. USEF has been recognized by the United States Olympic and Paralympic Committee as the national governing body and National Federation (NF) for the sport of equestrian in the United States; and
- B. USEF manages the calendar for equestrian competition in the United States; and
- C. EC is recognized by the Government of Canada and the Canadian Olympic Committee and the Canadian Paralympic Committee as the National Federation (NF) for equestrian sport in Canada; and
- D. EC manages the calendar for equestrian competitions in Canada; and
- E. USEF and EC are desirous of creating a relationship for reciprocity that would benefit members of the USEF and EC when competing or officiating in licensed/sanctioned competitions held in the other country. Additionally, USEF and EC are desirous in creating a mechanism for recognition of Safe Sport and disciplinary actions taken by either party against a member, Sport License holder, or agency sanctioned by either party.

The parties heretofore agree as follows:

- 1. Membership and High Performance Fees**
 - a. Pursuant to USEF rules, EC Sport License holder in good standing and with a Gold or Platinum Sport License (FEI disciplines) and Bronze, Silver, (Eventing) or Bronze, Silver, Gold, or Platinum Sport License (non-FEI disciplines and breeds) are permitted to compete in USEF licensed competitions without a USEF membership and are exempted from payment of a non-member fee. Exception: certain qualifying classes and finals at USEF licensed competitions may require USEF and/or USEF Recognized Affiliate membership.
 - b. Pursuant to EC rules, USEF members in good standing are permitted to compete in EC Sanctioned competitions without an EC Sport License and are exempted from payment of a non-Sport License holder fee. USEF members and EC Sport License holders are required to pay the appropriate levies as indicated in the EC rules and regulations which are applicable at the time of the sanctioned competition. Exemption: certain qualifying classes and finals at EC Sanctioned competition may require an EC Sport License to participate.
 - c. EC Sport License holders competing at international competitions licensed by USEF are

- required to pay International High Performance fees defined by USEF rules.
- d. USEF members competing at international competitions sanctioned by EC are required to pay high performance fees as defined by the EC rules.
 - e. USEF member or EC Sport License holders wishing to participate in the specific awards/recognition programs of either NF must be a member in good standing of the governing NF delivering the program.
 - f. For programs not covered under each NF's rules, the applicable affiliate breed/discipline organization will recommend whether points or qualifications for specific programs may be obtained at USEF/EC jointly licensed/sanctioned competitions.

2. Reciprocation of Suspensions and Disciplinary Actions

- a. Upon official notice to EC by USEF, a person who is suspended, banned, or otherwise disciplined by USEF (excluding monetary fines) must also be suspended or disciplined by EC, provided EC's rules regarding the disciplined actions are substantially similar to USEF's rules and regulations regarding the same. Such disciplined actions may include: (i) prohibited surgical procedures or injection or administration of foreign substances, which could affect a horse's performance or alter its natural conformation or (ii) equine welfare infractions. EC is not required to suspend or otherwise discipline a person who has been suspended or otherwise disciplined by USEF if EC rules are not violated by the action or an EC rule regarding the same does not exist.
- b. For purposes of this section and unless otherwise notified by USEF, an email will be sent to the CEO of EC as formal notification and will serve as the USEF official notification. Where applicable, the list of disciplinary actions for a current or former USEF member, or a related individual will be published on USEF's website.
- c. EC agrees to impose an eligible reciprocal disciplinary action if, in accordance with EC policies, the EC Complaints Manager determines that the party subject to the action received a hearing and procedural rights substantially similar to those set forth in EC Policies and Rules. However, should the EC Complaints Manager find that such procedural rights were not substantially similar, the EC Complaints Manager may, in their own discretion, conduct a hearing after proper notice is given to the affected party. Only after such a hearing may the disciplinary action be enforced.
- d. Upon official notice to USEF by EC, a person who is suspended, banned, or otherwise disciplined by EC (excluding monetary fines) must also be suspended or disciplined by USEF, provided USEF's rules regarding the disciplined actions are substantially similar to EC's rules and regulations regarding the same. Such disciplined actions may include: (i) prohibited surgical procedures or injection or administration of foreign substances, which could affect a horse's performance or alter its natural conformation or (ii) equine welfare infractions (including but not limited to yellow cards). USEF is not required to suspend or otherwise discipline a person who has been suspended or otherwise disciplined by EC if USEF rules are not violated by the action or a USEF rule regarding the same does not exist.
- e. For purposes of this section and unless otherwise notified by EC, an email will be sent to the CEO of the USEF as formal notification and will serve as the EC official notification. Where applicable, the list of disciplinary actions for a current or former EC Sport License Holder, or a related individual will be published on EC website.

- f. USEF agrees to impose an eligible reciprocal disciplinary action if, in accordance with USEF Bylaws, the USEF Hearing Committee determines that the party subject to the action received a hearing and procedural rights substantially similar to those set forth in USEF Bylaws and Rules. However, should the Hearing Committee find that such procedural rights were not substantially similar, the Hearing Committee may, in its own discretion, conduct a hearing after proper notice is given to the affected party. Only after such a hearing may the Hearing Committee recognize the suspension or other disciplinary action imposed by EC.
- g. Upon official notice by either organization to the other, USEF and EC agree to reciprocate any Safe Sport related sanctions including, but not limited to, temporary or permanent.
- h. Safe Sport Education and Requirements:
 - i. USEF Senior Active members must complete the U.S. Center for SafeSport Education;
 - ii. EC Sport License Holders must complete the Fostering Healthy Environments Training or equivalent as recognized by the EC Safe Sport Polices and the EC Concussion Awareness training;
 - iii. Individuals holding dual memberships are required to complete the education requirements in both jurisdictions;
 - iv. USEF members or EC Sport License Holders entering into domestic competition under this agreement are not required to complete the education of the equivalent NF to compete unless noted in iii, but have the responsibility to be aware of their duties and responsibilities under the jurisdiction they are competing in.

In the event either Party's requirements change related to the above, said Party agrees to provide the other timely notice of the change and both Parties agree to incorporate any necessary changes to this Agreement prior to the implementation of any said change.

- i. Neither USEF nor EC will be responsible for collecting or implementing fines levied by either party, each NF will be responsible for the collection of their own fines issued.

3. Reciprocation of Return to Play Requirements

EC and USEF agree to provide reports of concussion and ineligibility to compete to each other in order to ensure that athletes are not traveling to another country to participate in competition when they are on a medical ineligibility list in either country.

4. Amateur Certification

For the 2021 through 2023 competition years, the following will apply:

- a. EC will not honor USEF amateur status. USEF members who wish to secure EC amateur status may do so at no charge, provided they meet the requirements of EC amateur rules and complete an EC amateur declaration. USEF members are eligible to compete in EC amateur classes except Canadian Equestrian Team (CET) Medal and EC Amateur Medal classes where other restrictions apply.
- b. USEF will not honor EC amateur status. EC members who wish to secure USEF amateur status may do so at no charge, provided they meet the requirements of USEF

amateur rules and complete a USEF amateur declaration. Certain classes may restrict a foreign rider/driver/handler's eligibility to compete

- c. If EC's requirements for Amateur status align with the USEF requirements, Amateur Status issued by EC will be honored by USEF and Amateur Status issued by USEF will be honored by EC at all respective sanctioned competitions.

5. Horse Recordings, Passports, Identification Numbers, Measurement Cards

- a. EC and USEF agree to recognize and accept as equivalent the national passport/horse recording identification document developed and used in each country. This provision does not apply to FEI competitions.
- b. EC and USEF members/Sport License holders whose horses are annually registered in their home country are not required to annually register their horses in the other country for participation in a competition. However, in order to participate in certain programs and awards, horses maybe required to be recorded and/or registered with USEF/EC and/or its Recognized Affiliates in accordance with program and awards requirements.
- c. USEF will recognize a valid EC measurement card for all divisions.
- d. EC will recognize a valid USEF measurement card for all divisions.

6. Jointly Licensed/Sanctioned Competitions

- a. A competition is only eligible for joint licensing/sanctioning if it is first licensed/sanctioned by the home NF.
- b. If a competition loses its licensed/sanctioned status from the home NF, then it loses its licensed/sanctioned status from the other NF.
- c. USEF/EC jointly licensed/sanctioned competitions will be governed by the rules and regulations of the NF of the country in which the competition is conducted. However, competition classification/level/rating will be determined by the rules of each NF and a jointly licensed/sanctioned competition must abide by each NF's applicable rules for maintaining the classification/level/rating.
- d. If competition management applies to hold a jointly licensed/sanctioned competition on simultaneous dates as another licensed/sanctioned competition, and the mileage between competitions is such that it could influence the number of competitors at each competition, the conflicting dates may nevertheless be approved, provided all affected competition management is consulted in writing regarding the mileage issue. If a jointly licensed/sanctioned competition is to be held in the US, USEF mileage rules and mileage exemption processes apply
- e. At jointly licensed/sanctioned competitions, there must be a Steward or Technical Delegate from the relevant NF who will file the applicable reports with both NF's including any accompanying documentation required by USEF or EC (e.g. Accident Preparedness Plan, accident, fall, injury reports, Medal and Jumper courses that need to be collected/signed/returned to USEF, etc.). EC – stewards report, accident and injury report forms etc.

7. Officials at USEF/EC Competitions

- a) There shall be no reciprocal licensing of officials between USEF and EC. An official desiring an EC license or USEF license will be subject to the rules and regulations of the NF issuing the license. However, eligible EC and USEF licensed officials may have competition organizers apply for a Guest Card on a form provided by the appropriate NF. See Appendix A for Guest Card Eligibility
- b) For all other licenses not identified in Appendix A, a competition organizer must submit a guest

- card following the application process as set forth by the respective NF, and will be subject to any reasonable requirements or conditions that either NF may establish for licensing officials.
- c) USEF competitions that wish to hire EC officials not also licensed by USEF must comply with all USEF Guest Card requirements, except that the USEF membership fee is not required and the two Guest Card limit is waived. USEF Guest Card fees must be paid by the competition. Successful completion of the USEF Safe Sport training and a criminal background check is required for obtaining a USEF Guest Card.
 - d) EC competitions that wish to hire USEF judges not also licensed by EC must comply with all EC Guest Card requirements. The EC Guest Card fee must be paid by the Organizing Committee. USEF officials are not required to complete the EC Safe Sport education and EC recognizes the education requirements of the USEF and the U.S. Center for SafeSport.
 - e) There will be no guest card eligibility on special judges and steward cards for any competition.
 - f) For multi-breed competitions, an official with large “R” or Senior National status in one of the Breeds offered that is granted a guest card can receive additional guest cards for other breeds at the same competition.

8. Mandatory Necropsy

As of December 1, 2019, the requirements of USEF General Rule 843, Mandatory Necropsy, shall be in effect at all USEF Licensed competitions in Canada.

9. Term

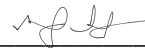
The term of this Agreement shall be for a period beginning upon date of signature and ending on November 30, 2023.

The Parties have executed this Agreement as indicated by signature below.

William J. Moroney

William Moroney, Chief Executive Officer
United States Equestrian Federation, Inc.

Date: 03/22/2021



Meg Krueger, Chief Executive Officer
Equestrian Canada

Date: 03/22/2021