



SCHEDULE OF FINES & PENALTIES

Effective January 1, 2018

DAYS: days shall mean total days irrespective of weekends and holidays

WRITTEN NOTICE: Written notice is defined to include any representation of words, letters, symbols, numbers, or figures, whether printed in or inscribed on a tangible medium or stored in an electronic form or other medium.

Membership and Horse Recording fines

Infraction	Rule/Policy	Penalty
Second violation of Horse Recording infraction	EC Rules, Section A, Article A407.5.b	\$200
Failure to provide Horse Recording to new owners upon sale of the horse/pony	EC Rules, Section A, Article A409	\$82 (EC Horse Recording fee includes Annual Activation)
Athlete competing while on the EC medical suspension list	EC Rules, Section A, Article A101	\$400.00 & 90 days Not in Good Standing (NSG)
Stewards/TD/s failing to submit EC accident Injury report form for urgent injuries within the 24 hour time period	EC Rules, Section A, Article A101	\$400.00 & 90 days NGS
Failure to purchase a Dressage Affiliate membership prior to competing in a Gold and/or Platinum Dressage competition	EC Rules, Section E, Article E3.1	\$25

Competition Documents and Late Fees

Infraction	Rule/Policy	Penalty
Failure to submit bronze/silver competition application form at least 60 days prior to competition start date.	EC Competition Administration Policy (CAP), Section 5.2.2	\$25
Failure to submit draft prize list to EC Steward/Technical Delegate (TD) within 60 days prior to closing date. (If entries are not accepted in advance, draft prize lists must be submitted 60 days before the competition start date).	EC CAP, Section 5.2.2	\$25
Failure to obtain prize list approval from EC Steward/TD 30 days prior to closing date. (If entries are not accepted in advance, draft prize lists approval must be obtained 30 days before the competition start date).	EC Rules, Section A, A601.3 EC CAP, Section 5.2.2 a)	\$25 – Bronze \$100 – Silver \$250 - Gold



Infraction	Rule/Policy	Penalty
Publishing the prize list prior to obtaining approval from EC Steward/TD.	EC Rules, Section A, A601.3 EC CAP, Section 5.2.3 b)	\$100 – Bronze \$250 - Silver \$500 - Gold
Failure to submit copy of final approved prize list in electronic format 30 days prior to competition closing date. (If entries are not accepted in advance, final prize list copy must be sent 30 days before start of competition).	EC CAP, Section 5.2.3 c)	\$25.00
Failure to submit modification forms (changes/additional information regarding dates, level of competition or divisions) 30 days prior to competition start date. *Does not apply to modifications of officials.	EC CAP, Section 5.2.4 and Section 5.2.5	\$25.00
Failure to submit EC Certificate of Insurance 30 days prior to competition start date.	EC Rules, Section A, A507 EC CAP, Section 5.2.3 a)	\$75.00
Failure to submit competition sanctioning fees and guest card fees 30 days prior to competition start date. *Exceptions may be granted in extreme circumstances (e.g. an official cancels 7 days prior to competition).	EC CAP, Section 5.2.3 d)	\$100
Failure to submit Competition Master Report within 14 days following competition.	EC CAP, Section 5.4.1	Fines start at \$100 and follow competition licensing fee chart in EC Rules, Section A, Article A309
Failure to submit Equine Medication Control Fees/Form within 14 days following competition	A1108.3 EC CAP, Section 5.4.1	Fines start at \$100 and follow competition licensing fee chart in EC Rules, Section A, Article A309
Failure to remit documents, payments and late fees within 30 days following competition	EC CAP, Section 5.4.1	Outstanding fees in excess of 30 days will result in the Competition Manager being listed NGS until fines are paid and all documents and fees are submitted.



Infraction	Rule/Policy	Penalty
Failure to submit competition results within 14 days following competition	EC CAP, Section 6.4.4	\$50
Fee for forwarding payments or returning cheques from Competitions		First offence – Written Warning Second offence & onward - \$25

Competition Fines

Infraction	Rule/Policy	Penalty
Using a qualified official who is qualified, but has not been approved	EC CAP, Section 6.2.2	\$50/official
Using an official who is neither qualified nor approved	EC CAP, Section 6.2.2	\$100/official
Officials' exceeding 16 hours of service at a competition (payable to Equestrian Canada)	EC Rules, Section A, Article A504.1	\$250 per hour exceeding 16 hours
Failure to pay out prize money to entries. All prize money must be paid within 30 days of the last day of competition.	EC Rules, Section A, Article A701	\$50/entry
Failure to pay competition officials. All officials must be paid within 30 days of the last day of competition. Failure to pay officials or fines can result in the removal of future sanctioning.	EC CAP, Section 5.1.6	\$100/official
Official working at a non-sanctioned competition	EC Rules, Section A, Article A1302.11	First offence - \$50 Second offence - \$250 & 30 day suspension Third offence – Hearing (up to \$1,000 fine & suspension up to 1 year)

(Note: Each case will be handled on a case-by-case basis)



Equine Medication Control Fines

Infraction	Rule/Policy	Penalty
Refusal of a request by an on-duty EC licensed official to provide for independent inspection and testing the equipment and materials used for the injection of a horse at an EC-sanctioned competition.	EC Rules, Section A, Article A1010.1i	Automatic Hearing \$2,500 to \$4,000 fine 90 day suspension
Found in possession, or administering/permitting the administration by any means of any of the following drugs to a horse entered in an EC sanctioned competitions: (i) injectable magnesium, (ii) gamma amino butyric acid (GABA) or (iii) hydroxy-gamma butyric acid (Hydroxy- GABA)	EC Rules, Section A, Article A1010.1g & A1010.1h	Automatic Hearing \$2,500 to \$4,000 fine 90 day suspension

Table 1: Equestrian Medication Control Administrative Fines & Penalties

Infraction Medication Class	Administrative Penalty (No hearing settlement) (First offence only)	Horse suspension for horse owned by Person Responsible (PR)	Horse suspension for horse not owned by PR
Class 1	\$2,500 fine and 90 day suspension	90 days	60 days
Class 2	\$2,000 fine and 45 day suspension	45 days	30 days
Class 3	\$1,000 fine and 30 day suspension	30 days	No suspension
Class 4	\$750 fine and No suspension	No suspension	No suspension
Class 5	\$500 fine No suspension	No suspension	No suspension

Note: Suspensions will last until all fines are paid in full.

Table 2: Equine Medication Control Hearings - Fines and Penalties

Class of Infraction	Person Responsible Penalties* (First offence only)	Horse Suspension for horse owned by PR (First offence only)*	Horse suspension for horse not owned by PR (1st offence only)*
Class 1	\$2,500 - \$5,000 fine 90 days to 24 months suspension	90 days to 24 months	60 days to 24 months
Class 2	\$2,000 to \$4,000 fine 60 to 90 days suspension	60 to 90 days	60 to 90 days
Class 3	\$1,000 to \$2,000 fine 45 to 90 days suspension	45 to 90 days	45 to 90 days



Class of Infraction	Person Responsible Penalties* (First offence only)	Horse Suspension for horse owned by PR (First offence only)*	Horse suspension for horse not owned by PR (1st offence only)*
Class 4	\$500 to \$1,000 fine 15 to 30 days suspension	15 to 30 days	15 to 30 days
Second Offence	\$2,000 to \$7,500 fine 30 days to 24 months suspension	30 days to 24 months	30 days to 24 months
Third Offence	\$3,000 to \$15,000 fine 60 days to lifetime suspension	60 days to lifetime	60 days to lifetime
When the Person Responsible elects to hold a hearing instead of accepting the administrative penalty (First offence for classes 1 – 5). The hearing charge is \$500. A deposit of \$250 is due with the written request to hold a hearing. The hearing panel can determine to increase this amount up to \$5,000 on a case-by-case basis.			
A second or third offence for the Person Responsible is applicable for any class of violation and/or any prohibited substance found for a second or third time in the same horse or different horses.			
*All prizes, ribbons and prize money won by the horse at the competition must be returned to the competition organizing committee where the infraction occurred.			
Note* : The Person Responsible and the horse will be placed Not in Good Standing (NGS) for the duration of the suspension and until all fines and hearing fees are paid in full.			

Fines Listed in General Regulations, Chapter 12, Dispute Resolution Policy

Infraction	Rule/Policy	Penalty
Maximum fine (imposed by Competition Organizing Committee) for violation during a particular competition	A1217.4.b	\$1,000
Maximum fine (imposed by the Participating PTSO or National Hearing Panel) for other than drug violations	A1217.5.a	\$5,000
Costs for Provincial or National Hearing Panel	A1217.5.a	\$1,500
Maximum fine (imposed by National Appeal Panel)	A1217.6.a	\$15,000
Athlete competing without, or with an invalid EC Sport Licence and/or Amateur Card	A203.4	\$60
Athlete/Owner competing a horse without, or with a non-valid Passport or Horse Recording	A407	\$60



Infraction	Rule/Policy	Penalty
Competition knowingly accepting an entry who is Not in Good Standing (NGS)	A801.11	\$100 - Bronze \$250 – Silver \$500 - Gold
Competition accepting an invalid entry (including sport licences, amateur status or Horse Recording Annual Activation)	EC Rules, Section A, A801.11 EC CAP, Section 5.3.2	\$10/invalid entry (max \$200)
Competition taking place without a licence (First offence)	EC CAP, Section 6.1.5	\$500
Competition taking place without a licence (Second offence)	EC CAP, Section 6.1.5	\$3,000

Appeal or Protest Fees

Appeal/Protest	Rule/Policy	Fee/Deposit
Deposit for height protest	EC Rules, Section A, Article A1112.2 & A1115.2.e	\$100 deposit
Appeal fee for height protest	EC Rules, Section A, Article A1115.2.a	\$100 fee
Appeal deposit (towards re-measurement expenses) for height protest	EC Rules, Section A, Article A1115.2.b	\$200 deposit
Deposit for resubmitted measurement (toward expenses)	EC Rules, Section A, Article A1115.2.g	\$200 deposit
Appeals of Officials' status (enrolment, renewal or promotion)	EC Rules, Section A, Article A1307.1	\$100 fee
Fee for filing protest	EC Rules, Section A, Article A1204.b	\$100 fee

Competition Manager fines for not supplying suitable facilities for drug testing

Class of Infraction	Competition Manager
First offence	Warning letter
Second offence	\$500 fine
Third offence	Hearing & competition date may be revoked



Appendix 1:A309 – Competition Licensing Fees Summary

The following table of fees is based on prize money. See EC Rules, Section A, Articles A303 – A306 and the Equestrian Canada Schedule of Fees.

Prize Money	Event Level					
	Bronze	Silver	Gold			Platinum
			C	B	A	
No prize money, ribbons only	\$50	\$50	\$50	\$50	\$50	\$50
Up to \$1,000	\$100	\$100	\$100	\$100	\$100	\$100
\$1,001 - \$2,500	\$150	\$150	\$150	\$150	\$150	\$150
\$2,501 - \$5,000	\$200	\$250	\$250	\$250	\$250	\$250
\$5,001. - \$7,000	N/A					
\$7,001 - \$12,000		\$350	\$350	\$350	\$350	\$350
\$12,001 - \$15,000		\$450	\$450	\$450	\$450	\$450
\$15,001 – 25,000		N/A	\$600	\$600	\$600	\$600
\$25,001 - \$35,000	\$900		\$900	\$900	\$900	
\$35,001 - \$100,000	N/A		\$1,400	\$1,400	\$1,400	
\$100,001 - \$250,000		N/A	\$1,700	\$1,700		
Over \$250,000	\$2,200		\$2,200			